

LMU | Lincoln Memorial University

Export Control Questionnaire

Name	<input type="text"/>		
Position	<input type="text"/>	Department	<input type="text"/>
Address	<input type="text"/>		
City	<input type="text"/>	Zip	<input type="text"/>
Phone	<input type="text"/>	Email	<input type="text"/>

DOES MY RESEARCH FALL UNDER THE FUNDAMENTAL RESEARCH EXCLUSION?	Yes	No
1. Does the information being shared contain confidential information?	<input type="radio"/>	<input type="radio"/>
2. Are tangible items (equipment, prototypes, software, genetically modified organisms, materials, etc.) to be shared?	<input type="radio"/>	<input type="radio"/>
2. Is technical data what would allow for the production of a tangible item (a blueprint, schematic, etc.) to be shared?	<input type="radio"/>	<input type="radio"/>
3. Are material shipments (ie, more than information) involved?	<input type="radio"/>	<input type="radio"/>
4. Does the entity or its researcher place a restriction on publication of research results?	<input type="radio"/>	<input type="radio"/>
5. Is your research funded by the U.S. Government and specific access and dissemination controls protecting information resulting from the research applicable?	<input type="radio"/>	<input type="radio"/>
6. Does the sender of the material want LMU to sign a Material Transfer Agreement?	<input type="radio"/>	<input type="radio"/>

- | | Yes | No |
|---|-----------------------|-----------------------|
| 7. Is there any restriction participation of foreign persons as a part of the grant or contract? | <input type="radio"/> | <input type="radio"/> |
| 8. Is there a Confidential Disclosure Agreement (CDA) for exchanging information that may include proprietary information, including pending patent applications not yet published? | <input type="radio"/> | <input type="radio"/> |
| 9. Is there a Technology Control Plan associated with this project? | <input type="radio"/> | <input type="radio"/> |
| 9. Does the project include strong encryption technology or technology that could be use for a military/defense purpose (even if a civilian purpose also exists)? | <input type="radio"/> | <input type="radio"/> |

If you answered YES to any of these questions, your project does not meet the Fundamental Research Exclusion (FRE) and an export license or a technology control plan may be required.

Notes:

I certify that the information provided here is true to the best of my knowledge, and that should any of the above answers change during the course of my research project, I will alert the Office of Research, Grants and Sponsored Programs and LMU Legal Counsel as quickly as possible.

Signature _____

Date _____